#### PATENT COOPERATION TREATY

INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below Priority date (day/month/year) International application No. International filing date (day/month/year) 28.07.2004 28.07.2003 PCT/US2004/025720 International Patent Classification (IPC) or both national classification and IPC B60N248 Applicant INTIER AUTOMOTIVE INC. This opinion contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited ☐ Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA: **Authorized Officer** 

Lotz, K-D

Telephone No. +49 89 2399-2323

Form PCT/ISA/237 (Cover Sheet) (January 2004)

European Patent Office D-80298 Munich

Fax: +49 89 2399 - 4465

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

#### 10/564487

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2004/025720

		IAPZORGGC COLUMB IZ JAN ZUUD
_	Box No. I Basis of the opinion	
1.	the language in which it was field, unless otherwise i	
	language , which is the language of a translation (under Rules 12.3 and 23.1(b)).	of a translation from the original language into the following ion furnished for the purposes of international search
2.	With regard to any nucleotide and/or amino acid s necessary to the claimed invention, this opinion has	equence disclosed in the international application and been established on the basis of:
	a. type of material:	
	☐ a sequence listing	
	☐ table(s) related to the sequence listing	
	b. format of material:	
	☐ in written format	•
	☐ in computer readable form	•
	c. time of filing/furnishing:	
	<ul> <li>contained in the international application as</li> </ul>	filed.
	filed together with the international application	on in computer readable form.
	☐ furnished subsequently to this Authority for	he purposes of search.
3	has been filed or furnished, the required statem	ion or copy of a sequence listing and/or table relating thereto lents that the information in the subsequent or additional led or does not go beyond the application as filed, as
4	. Additional comments:	

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	Box No. II	Priority			
1.	d:				
	⊠	copy of the earlier a	pplication	whose pr	riority has been claimed (Rule 43bis.1 and 66.7(a)).
		translation of the ea	ırlier appli	ication who	ose priority has been claimed (Rule 43bis.1 and 66.7(b)).
Consequently it has not been possible to consider the validity of the priority claim. This opinion has nevertheless been established on the assumption that the relevant date is the claimed priority date.					
2.	This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rules 43 <i>bis</i> .1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the relevant date.				
3.	Additional observations, if necessary:				
_	Box No. V	Reasoned states	nent und	er Rule 43 explanatio	Bbis.1(a)(i) with regard to novelty, inventive step or one supporting such statement
1.	Statement				
	Novelty (N	)	Yes: No:	Claims Claims	2-11 1
	Inventive s	step (IS)	Yes: No:	Claims Claims	1-11
	Industrial a	applicability (IA)	Yes: No:	Claims Claims	1-11
	0	and explanations			

2. Citations and explanations

see separate sheet

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

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Reference is made in this written opinion to the following documents:

D1: DE 44 21 825 A D2: GB 1 537 551 A D3: FR 1 562 595 A

#### Re Item V

1. Novelty of independent claim 1

a) The document **D1** (see particularly figs.1 - 4) is regarded as being the **closest prior art** to the subject-matter according to the wording of claim 1 and discloses (references in parentheses applying to this document):

A head restraint assembly for a motor vehicle seat having a seat back, said head restraint assembly comprising: a support member (3) removably securable to the seat back; a body portion including a foam cushion disposed adjacent said support member; a pivot tube (11) fixedly secured to said support member (3), said pivot tube defining a pivot tube slot (15', 15") cut through said pivot tube; a helical spring fixedly secured to said pivot tube and extending through a portion of said pivot tube; a pivot element (5') disposed within said pivot tube (11), said pivot rod (5') including a guide pin (5) extending out of said pivot rod and received by said pivot tube slot (15', 15"), said pivot rod fixedly secured to said body portion such that rotation of said pivot rod with respect to said pivot tube pivots said body portion with respect to said support member to move said body portion between a use position and a tilted, stowed position.

With respect to the chosen term "rod" it is implicitly known from D1 that the pivot element (5') with its given thickness received in a pivot tube (11) is an equivalent to a pivot rod in a pivot tube.

The subject matter of the independent claim 1 of the present application does therefore not fulfill the requirement for novelty (Article 33(2) PCT).

b) As the present application simply addresses the locking of a pivotal movement of a headrest by a pin within a corresponding opening or slot which is liberated for pivotal movement of the headrest against the force of a spring and through a translation movement of the whole headrest even before D2 or D3 each alone claim 1 appears not inventive.

- c) With regard to D1 it is additionally noted, even while currently not expressed within claim 1, that an inverse behaviour with a lateral movement of the pivot element (5') with its guide pin (5) in a pivot tube slot (15', 15") with respect to an immobile pivot tube (11) fixedly secured to the support member (3) is implicitly known therefrom.
- 2. Dependent claims 2 11 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT with respect to inventive step (Article 33 (3) PCT), the reasons being as follows:

The additional features of **claims 2 - 11** appear either already known from D1 or D2 or represent obvious design features to the skilled person to design a head restraint assembly. Their consideration appear to be obvious details of geometrical aspects (for instance slot shape: at both ends of cross slot segment 15' (see fig. 4) a primary segment 15" and a secondary segment as its mirror image can be arranged in parallel and spaced apart from said primary segment 15" to secure the headrest in a use position and in a stowed position) which come within the scope of the customary practice followed by persons skilled in the art, especially as the advantages thus achieved can readily be foreseen. It is for instance only a matter choice to combine two identical pivot locks at both sides of the headrest within a common pivot tube.

- 3. Claim 5 is not clear with regard to the definition of a second slot cut. From D1 a second slot cut (13) is known.
- 4. Claim 8 is not clear with regard to the bias of the spring.
- 5. Within the whole application the use of the term "torsion" spring is incorrectly applied as the disclosed spring is a <u>helical</u> spring. The spring produces no rotational forces.
- 6. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the **relevant background art** disclosed in the documents **D1 D3 is not mentioned** in the description, nor are these documents identified therein.
- 7. Independent claim 1 is not cast in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would be appropriate, with those features known in combination from the prior art (document D1) being placed in the preamble (Rule 6.3(b)(I) PCT) and with further features being included in the

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characterising part (Rule 6.3(b)(ii) PCT).

8. The features of all the claims are not provided with **reference signs** placed in parentheses (Rule 6.2(b) PCT).